



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/604,870	08/22/2003	Narendra Digamber Joshi	129969	1869
31838	7590	09/23/2008	EXAMINER	
HASSE & NESBITT LLC 8837 CHAPEL SQUARE DRIVE SUITE C CINCINNATI, OH 45249				BROADHEAD, BRIAN J
3664		ART UNIT		PAPER NUMBER
09/23/2008		MAIL DATE		DELIVERY MODE
				PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

1 UNITED STATES PATENT AND TRADEMARK OFFICE

2
3
4 BEFORE THE BOARD OF PATENT APPEALS
5 AND INTERFERENCES
6
7

8 *Ex parte* NARENDRA DIGAMBER JOSHI and
9 RICHARD LAMAR FRANTZ
10
11

12 Appeal 2008-1333
13 Application 10/604,870
14 Technology Center 3600
15
16

17 Decided: September 23, 2008
18
19

20 *Before* WILLIAM F. PATE, III, MURRIEL E. CRAWFORD and
21 DANIEL S. SONG, *Administrative Patent Judges.*

22 SONG, *Administrative Patent Judge.*

24

25

26 DECISION ON APPEAL
27

28

29 STATEMENT OF THE CASE
30

31 The Appellants appeal under 35 U.S.C. § 134 (2002) from a Final
32 Rejection of claims 1-12 and 14-29. Claim 13 has been indicated to be

1 allowable if written to be in independent form. We have jurisdiction under
2 35 U.S.C. § 6(b) (2002).

3 The Appellants claim an apparatus and method in which information
4 relating to at least one part of an individual engine component of a turbine
5 engine is stored in an information storage device that is permanently
6 deployed on the individual engine component.

7 Independent claim 1 reads as follows:

8 1. An apparatus for recording, storing, updating, and
9 retrieving operating, maintenance and repair information
10 relating to at least one part of at least one individual engine
11 component of a turbine engine, said apparatus comprising at
12 least one information storage device permanently deployed on
13 said at least one individual engine component said information
14 storage device further comprising:

- 15 a) identification information about said at least one
16 part of the individual engine component stored thereon;
17 b) at least one updatable data register having data
18 storage capability, said data register referenced by stored
19 identification information of said at least one part and a
20 parameter recorded by said data register;

21 wherein said information storage device is accessible for at
22 least one of the following:

- 23 i) recording and storing maintenance work
24 done when the individual engine component
25 undergoes maintenance;
26 ii) updating said information storage device
27 when said at least one part is exchanged for a
28 replacement part; and
29 iii) retrieving recorded and stored information
30 in said information storage device under certain
31 selected conditions.

32
33
34

1 Independent claim 20 is directed to a similar apparatus while
2 independent claims 24 and 27 are directed to methods for recording, storing,
3 updating and retrieving operating and maintenance information relating to a
4 turbine engine component.

5 The prior art relied upon by the Examiner in rejecting the claims is:

6	Muehl	US 2004/0024501 A1	Feb. 5, 2004
7	Katayanagi	US 6,321,983 B1	Nov. 27, 2001
8	Vogan	5,968,107	Oct. 19, 1999
9	Martin	4,280,185	Jul. 21, 1981

10 The Examiner rejected the appealed claims under 35 U.S.C. § 103(a)
11 as unpatentable over the prior art of record.
12

13 We AFFIRM.

ISSUES

16 The following issues have been raised in the present appeal.

17 1. Whether the Examiner erred in rejecting claims 1-10, 12, 14-17
18 and 19-29 as unpatentable over Martin, Muehl and Katayanagi which turns
19 on whether it would have been obvious to one of ordinary skill in the art to
20 provide an information storage device with information relating to a part of
21 an engine component instead of information relating to the engine
22 component.

23 2. Whether the Appellants have shown that the Examiner erred in
24 rejecting claims 11 and 18 as unpatentable over Martin, Muehl, Katayanagi
25 and Vogan.

FINDINGS OF FACT

2 The record supports the following findings of fact (FF) by a
3 preponderance of the evidence.

4 1. Martin describes a life tracking system for recording, storing,
5 updating, and retrieving information relating to at least one individual engine
6 component 20-24 of a turbine engine 18 (col. 1, ll. 44-55; col. 3, ll. 38-49;
7 fig. 1). The life tracking system includes at least one information storage
8 device 30-34 permanently deployed on the engine components, the device
9 including identification information about the individual engine component
10 stored thereon (col. 1, ll. 44-65; col. 3, ll. 50-53; col. 6, ll. 63-68).

11 2. Muehl describes a method for recording, storing, updating, and
12 retrieving operating, maintenance and repair information relating to a
13 complex article such as a turbine engine 110 of an aircraft 100 (¶¶ [0003]
14 and [0030]; fig. 1). The method includes permanently providing an
15 electronically accessible tag 130 (i.e., information storage device) on a
16 compressor 115 (i.e., an individual engine component) of a turbine engine,
17 the tag including identification information about the engine component and
18 accessible for recording and storing maintenance work done (¶¶ [0004],
19 [0005], [0031] and [0034]; figs. 1, 3-5).

20 3. Katayanagi describes a method and system for managing the
21 life cycle of products which records, stores, updates, and retrieves operating,
22 maintenance and repair information relating to the product and its parts, the
23 system including a storage device permanently deployed on the product, the
24 storage device having identification information about the parts of the

1 product and being accessible to record and store information related to
2 maintenance work (col. 2, ll. 7-18; col. 4, l. 63-col. 5, l. 3).

3

4 PRINCIPLES OF LAW

5 “Section 103 forbids issuance of a patent when ‘the differences
6 between the subject matter sought to be patented and the prior art are such
7 that the subject matter as a whole would have been obvious at the time the
8 invention was made to a person having ordinary skill in the art to which said
9 subject matter pertains.’” *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727,
10 1734 (2007). The question of obviousness is resolved on the basis of
11 underlying factual determinations including (1) the scope and content of the
12 prior art, (2) any differences between the claimed subject matter and the
13 prior art, (3) the level of skill in the art, and (4) where in evidence, so-called
14 secondary considerations. *Graham v. John Deere Co.*, 383 U.S. 1, 17-18
15 (1966). In *KSR*, the Supreme Court also explained:

16 When a work is available in one field of endeavor,
17 design incentives and other market forces can
18 prompt variations of it, either in the same field or a
19 different one. If a person of ordinary skill can
20 implement a predictable variation, §103 likely bars
21 its patentability. For the same reason, if a
22 technique has been used to improve one device,
23 and a person of ordinary skill in the art would
24 recognize that it would improve similar devices in
25 the same way, using the technique is obvious
26 unless its actual application is beyond his or her
27 skill.

28 *KSR*, 127 S.Ct. at 1740.

1 The Court further explained, “[o]ften, it will be necessary for a court
2 to look to interrelated teachings of multiple patents; the effects of demands
3 known to the design community or present in the marketplace; and the
4 background knowledge possessed by a person having ordinary skill in the
5 art, all in order to determine whether there was an apparent reason to
6 combine the known elements in the fashion claimed by the patent at issue.”
7 *Id.* at 1740-41.

8 The Court noted that “[t]o facilitate review, this analysis should be
9 made explicit.” *Id.* at 1741, citing *In re Kahn*, 441 F.3d 977, 988 (Fed. Cir.
10 2006) (“[R]ejections on obviousness grounds cannot be sustained by mere
11 conclusory statements; instead, there must be some articulated reasoning
12 with some rational underpinning to support the legal conclusion of
13 obviousness”). However, “the analysis need not seek out precise teachings
14 directed to the specific subject matter of the challenged claim, for a court
15 can take account of the inferences and creative steps that a person of
16 ordinary skill in the art would employ.” *Id.* at 1741.

ANALYSIS

Claims 1-10, 12, 14-17 and 19-29

With the exception of dependent claim 16, the Appellants argue these claims together as a group in the Appeal Brief (App. Br. 12-16). Thus, we select representative claim 1 to decide the appeal of claims 1-10, 12, 14, 15, 17 and 19-29, these claims standing or falling together. *See* 37 C.F.R. § 41.37(c)(1)(vii).

1 We note that there does not appear to be any material issues of fact as
2 to what the prior art of record discloses. The Appellants initially point out
3 and take issue with the Examiner's inconsistent position regarding whether
4 Muehl describes a tag (i.e. an information storage device) having
5 information regarding the parts of a component of a turbine engine (App. Br.
6 12 and 13). However, this issue is moot in view of the Examiner's
7 clarification and concession in the Examiner's Answer that the tag of Muehl
8 includes information regarding the component of a turbine engine, but *does*
9 *not* include information regarding the parts of the component (Ans. 14).

10 In addition, the Examiner also concedes that the Martin and Muehl,
11 individually or in combination, *do not* teach storing information on
12 individual parts of the components making up the turbine engine, thereby
13 agreeing with the Appellants' position (Ans. 15). Thus, the difference
14 between the claimed subject matter and the combination of Martin and
15 Muehl is that the information storage device of the Appellants' invention
16 includes maintenance and repair information relating to *a part of an*
17 *individual engine component*, as opposed to the individual engine
18 component itself which is described by the prior art combination.

19 The Examiner relies on Katayanagi for curing any deficiencies of the
20 combination of Martin and Muehl, Katayanagi describing a system for
21 managing the life cycle of a product where the product is provided with a tag
22 that stores information about not only the product, but also the parts of the
23 product (FF 3; Ans. 4, 5 and 15). The Examiner finds that it would have
24 been obvious to one of ordinary skill in the art to provide an information
25 storage device that stores identification and information about an individual

1 part of the larger component in view of Katayanagi, so as to improve
2 maintenance of the engine as taught in Martin and Muehl, and to provide a
3 more detailed tracking of the parts as taught in Katayanagi (Ans. 4, 5
4 and 16).

5 The Appellants contend that the Examiner failed to establish a prima
6 facie case of obviousness by failing to show motivation to combine the cited
7 prior art references in the manner suggested, and further contend that the
8 Examiner is deriving motivation for searching and combining the prior art
9 from the Appellants' disclosure using impermissible hindsight (App. Br. 12-
10 15).

11 With respect to the Appellants' argument regarding motivation, we
12 note that the Examiner need not seek out precise teachings directed to the
13 specific subject matter of the challenged claim. *KSR*, 127 S.Ct. at 1741.
14 What is required is for the Examiner to articulate a rational reason for
15 combining the references. *See In re Kahn*, 441 F.3d at 988.

16 In the above regard, the Examiner reasons that the combination of
17 Martin and Muehl teaches that "storing information on the components is
18 beneficial to track the components and store maintenance and repair
19 histories," and also states that this reasoning "also applies to why it would
20 have been desirable for one of ordinary skill in the art at the time of the
21 invention to modify the system to include more detailed information on the
22 part level, rather than just the component" as evidenced by Katayanagi (Ans.
23 4 and 5). In this regard, the Examiner further states that such combination is
24 desirable to "provide for life cycle management of the products as well as an
25 evaluation of whether a product or parts thereof should be recycled or

1 destroyed" (Ans. 16). In view of the record before us, we find that the
2 Examiner has articulated rational reasons for combining Katayanagi with
3 Martin and Muehl which are sufficient to support the conclusion of
4 obviousness, the Appellants not providing any persuasive arguments as to
5 why the articulated reasons are not rational.

6 Furthermore, as also argued by the Examiner (Ans. 16), the
7 Appellants' invention of claim 1 merely extends the prior art technique to
8 the part of the component. In particular, the prior art records, stores, updates
9 and retrieves information relating to an airplane, a turbine engine, and a
10 component of the turbine engine (i.e., compressor of a turbine engine). The
11 Appellants' invention applies the same technique of using storage devices to
12 provide information regarding a part of the component in order to improve
13 maintenance and tracking life limited parts. Thus, the claimed invention
14 merely extends the application of the prior art technique to parts of
15 components to yield predictable results, such application being within the
16 skill of one of ordinary skill in the art. *See KSR*, 127 S.Ct. at 1740.

17 The Appellants also contend that the Examiner's motivation for
18 searching and finding Katayanagi was improperly based on Appellants'
19 disclosure (App. Br. 13). The basis for this argument is not understood
20 because any prior art search performed by the Examiner will necessarily be
21 based on the Appellants' disclosure which defines the invention. In
22 addition, the manner in which the Examiner uncovered a particular prior art
23 reference is immaterial to the obviousness analysis.

24 With respect to the Appellants' argument that Katayanagi is directed
25 to a non-analogous art (Reply Br. 2), we disagree and find that Katayanagi is

1 reasonably pertinent to the problem addressed by the Appellants' invention.
2 In particular, the Appellants' invention addresses "the problem of keeping
3 accurate repair, maintenance, and operating data for turbine engine
4 components and the parts that make up the components" (Spec. ¶ [0016]).
5 Katayanagi addresses a similar problem of efficiently and accurately
6 providing parts and maintenance information using electronic tags (i.e.,
7 information storage devices) that are affixed and updated so that the life
8 cycle of a product can be managed (FF 3). While Katayanagi does not
9 identify a specific product, Katayanagi is directed to products with parts that
10 have limited useful life, and does not preclude application to turbine engines.
11 Thus, we find that Katayanagi is reasonably pertinent to the particular
12 problem being addressed by the Appellants' invention.

13 In view of the above, we conclude that the Appellants have not shown
14 that the Examiner erred in rejecting independent claim 1 as unpatentable
15 over Martin, Muehl and Katayanagi. Thus, we also find that the Appellants
16 have not shown that the Examiner erred in rejecting claims 2-10, 12, 14, 15,
17 17 and 19-29 as unpatentable.

18 With respect to claim 16, the Appellants contend that the prior art
19 does not disclose or suggest information that is supplied and stored on the
20 information storage device from a remote location as specifically recited
21 (App. Br. 16). However, as noted by the Examiner (Ans. 6, 19 and 20),
22 Martin teaches that the information can be supplied to the storage device by
23 a life tracking unit mounted off of the engine (i.e., a remote location) thereby
24 satisfying the recited limitation of claim 16 (col. 3, ll. 45-58; col. 4, ll. 29-
25 33). Therefore, we also conclude that the Appellants have not shown that

1 the Examiner erred in rejecting claim 16 as unpatentable over Martin, Muehl
2 and Katayanagi.

3

4 Claims 11 and 18

5 The Examiner concedes that the combination of Martin, Muehl and
6 Katayanagi does not teach predicting future maintenance requirements from
7 the data collected as recited in claims 11 and 18 (Ans. 13). However, the
8 Examiner finds that parameter trending of engines is known as evidenced by
9 Vogan which describes using stored data regarding a component to predict
10 the future maintenance requirements of the component before a failure
11 occurs in order to minimize downtime or repair time (Ans. 13 and 14).

12 The Appellants again contend that the Examiner fails to establish a
13 *prima facie* case of obviousness stating that the Examiner has not identified
14 any motivation to combine the references, and that the Examiner used
15 hindsight to search and combine Vogan with the other cited references (App.
16 Br. 17). The Appellants further contend that Muehl does not specifically
17 mention the parameter trending of engines disclosed in Vogan, and thus, the
18 Examiner's finding of obviousness is unsupported by the evidence (App. Br.
19 17 and 18).

20 With respect to motivation, the Examiner states that it would have
21 been obvious to one of ordinary skill to use the stored information of the
22 combination of Martin, Muehl and Katayanagi in the manner claimed,
23 because "by collecting these engine parameters for trending[,] engine failure
24 can be prevented or predicted and this reduces downtime" (Ans. 14 and 20).
25 The Examiner further states that Vogan teaches that downtime can be very

1 expensive and “preventing this is widely recognized in the art as being a
2 significant motivation” so that one of ordinary skill in the art “would
3 recognize this cost savings as being beneficial” (Ans. 20).

4 Thus, in view of the record before us, we find that the Examiner has
5 clearly articulated rational reasons for combining Vogan with the other
6 references which are sufficient to support the conclusion of obviousness, the
7 Appellants not providing any persuasive arguments as to why the articulated
8 reasons are not rational. *See In re Kahn*, 441 F.3d at 988.

9 With respect to the Appellants’ assertion of impermissible hindsight
10 used in the Examiner’s search, we again note that the Appellants’ invention
11 is the basis for patent examination. Furthermore, the Appellants’ argument
12 based on the fact that Muehl fails to mention parameter trending of engines
13 as described in Vogan is not based on law and would render obviousness
14 analysis under 35 U.S.C. § 103 unnecessary as noted by the Examiner (Ans.
15 21).

16 In view of the above, we find that the Appellants have not shown that
17 the Examiner erred in rejecting claims 11 and 18 as unpatentable over
18 Martin, Muehl, Katayanagi and Vogan.

19

20 CONCLUSIONS

21 1. The Appellants have not shown that the Examiner erred in
22 rejecting claims 1-10, 12, 14-17 and 19-29 as unpatentable over Martin,
23 Muehl and Katayanagi.

1 2. The Appellants have not shown that the Examiner erred in
2 rejecting claims 11 and 18 as unpatentable over Martin, Muehl, Katayanagi
3 and Vogan.

4

ORDER

6 The Examiner's rejections of claims 1-12 and 14-29 are AFFIRMED.

7 No time period for taking any subsequent action in connection with
8 this appeal may be extended under 37 C.F.R. § 1.136(a). *See* 37 C.F.R.
9 § 1.136(a)(1)(iv) (2007).

10

11 AFFIRMED

12

13 vsh

14

15 HASSE & NESBITT LLC
16 8837 CHAPEL SQUARE DRIVE
17 SUITE C
18 CINCINNATI OH 45249
19